ORIGINAL RESEARCH

Dupilumab Efficacy in Patients Stratified by Baseline Treatment Intensity and Lung Function

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Purpose: The Phase 3 LIBERTY ASTHMA QUEST study in patients aged ≥12 years with uncontrolled, moderate-to-severe asthma demonstrated the efficacy and safety of dupilumab 200 mg and 300 mg every 2 weeks (q2w) vs matched placebo in the overall population. This post hoc analysis assessed dupilumab efficacy by disease severity as evidenced by baseline % predicted forced expiratory volume in 1 second (FEV_1) and dose of inhaled corticosteroids (ICS).

Patients and Methods: Severe asthma exacerbation rates, change from baseline in FEV_1, asthma control, quality of life, and fractional exhaled nitric oxide (FeNO) levels over the 52-week treatment period were assessed in patients with elevated type 2 inflammation biomarkers stratified by ICS dose and FEV_1% predicted at baseline.

Results: In patients with elevated baseline eosinophils, dupilumab 200 mg and 300 mg q2w vs placebo reduced severe exacerbation rates by 50% ($P=0.06$) and 67% ($P=0.001$), respectively, in those with medium-dose ICS/FEV_1% predicted 60–90%, and by 59% ($P<0.001$) and 47% ($P=0.006$) in those with high-dose ICS/FEV_1% predicted <60%, improved prebronchodilator FEV_1 at Week 12 by 0.16L ($P=0.005$) and 0.08L ($P=0.13$), and by 0.20L ($P=0.003$) and 0.21L ($P<0.001$), respectively, in the same subgroups. Dupilumab vs placebo also improved asthma control and quality of life and suppressed FeNO levels in all patient subgroups with similar results observed irrespective of baseline biomarker status or disease severity.

Conclusion: Dupilumab reduced severe exacerbations and improved lung function, asthma control and quality of life in patients with elevated baseline eosinophils irrespective of baseline ICS dose or FEV_1% predicted.

Keywords: asthma, biomarkers, dupilumab, exacerbation, FEV_1

Introduction

Asthma poses a rising burden for patients, their families, and the community. The severity of asthma can be assessed by examining lung function, as measured by prebronchodilator forced expiratory volume in 1 second (FEV_1), and the dose of inhaled corticosteroids (ICS) required to maintain disease control.[1] An assessment of asthma severity enables an appropriate adjustment to treatment to be made in order to reduce the burden to the patient.

Biologic agents for the treatment of moderate-to-severe asthma have demonstrated efficacy in patients with varying degrees of asthma severity as measured by ICS dose alone, but not on the basis of both lung function and ICS dose.[2–4]

Dupilumab, a fully human monoclonal antibody,[5,6] blocks the shared receptor component for interleukin (IL)-4 and IL-13,[7] key drivers of type 2 inflammation in multiple diseases, including asthma;[8] it is approved for patients with type 2

inflammatory diseases, including atopic dermatitis, asthma, and chronic rhinosinusitis with nasal polyps.[9,10]

The phase 3 LIBERTY ASTHMA QUEST study (NCT02414854) demonstrated the efficacy and safety of dupilumab in patients aged ≥12 years with uncontrolled, moderate-to-severe asthma;[11] dupilumab significantly reduced the annualized rate of severe exacerbations and improved lung function in the overall population, with greater treatment effects observed in patients with higher levels of type 2 inflammatory biomarkers at baseline.

As QUEST was a large study in which asthma patients were recruited regardless of any phenotype based on baseline biomarkers, the population is diverse and provides the opportunity to assess the efficacy of dupilumab across different patient subgroups. This is of relevance clinically as it enables the identification of patient phenotypes more likely to benefit from dupilumab treatment and indeed other biologics directed against similar targets as they become available.

The aim of this manuscript is to evaluate the efficacy of dupilumab in the patient subgroups of LIBERTY ASTHMA QUEST stratified according to their baseline disease severity, which was defined by their $FEV_1\%$ predicted and ICS dose requirement at baseline.

Methods
Study Design
LIBERTY ASTHMA QUEST (NCT02414854) was a phase 3, randomized, double-blind, placebo-controlled study that assessed the effect of dupilumab in patients with uncontrolled, moderate-to-severe asthma.[11] Patients aged ≥12 years were randomized in a 2:2:1:1 ratio to add-on subcutaneously administered dupilumab 200 mg (loading dose 400 mg) or 300 mg (loading dose 600 mg) every 2 weeks (q2w) or matched-volume placebos for 52 weeks. A complete description of the study design is provided in the primary manuscript.[11]

The study was conducted in accordance with the Declaration of Helsinki, the International Conference on Harmonisation Good Clinical Practice guideline, and applicable regulatory requirements. An independent data and safety monitoring committee conducted blinded monitoring of patient safety data. The local institutional review board or ethics committee at each study center oversaw trial conduct and documentation. All patients, or their parents/guardians, provided written informed consent before participating in the trial. Pediatric patients provided assent according to the Ethics Committee (Institutional Review Board [IRB]/Independent Ethics Committee)-approved standard practice for pediatric patients at each participating center. The IRB of the study was the Copernicus Group.

Patients
Complete inclusion and exclusion criteria are provided in the Supplementary Appendix for the primary manuscript.[11]

In order to assess dupilumab efficacy in patients with differing levels of disease severity, patients with moderate-to-severe asthma who had participated in QUEST were stratified according to their requirement of ICS dose (medium or high) and their degree of airflow obstruction ($FEV_1\%$ predicted) at baseline, the assumption being that those with poorer airflow obstruction ($FEV_1\%$ predicted <60%) and requiring higher doses of ICS to control their disease would have more severe asthma than those on medium-dose ICS and with less severe airflow obstruction. Hence, in this post hoc analysis, four groups of patients were examined: (1) those receiving medium-dose ICS at baseline and with high $FEV_1\%$ predicted (60–80% for adults, 60–90% for adolescents), (2) those receiving high-dose ICS at baseline and with low $FEV_1\%$ predicted (<60%), (3) those on high-dose ICS at baseline and with a $FEV_1\%$ predicted of 60–90%, and (4) those on medium-dose ICS with a $FEV_1\%$ predicted <60% at baseline. Data for groups 1 and 2 are included in the main manuscript (as these represent the two extremes of disease severity), whereas groups 3 and 4 data are included in the supplement, for completeness. As part of the inclusion criteria for QUEST, medium- to high-dose ICS was defined as ≥250 μg of fluticasone propionate twice daily, or equipotent ICS, to a maximum of 2000 μg/day of fluticasone propionate or equivalent. Subgroups of patients who also had elevated baseline levels of the type 2 biomarkers blood eosinophils (≥150 cells/μL) or FeNO (≥25 parts per billion [ppb]) were also examined. These patients are representative of the populations for which dupilumab is indicated in the USA (patients with ≥150 eosinophils/μL) and the rest of the world (patients with ≥150 eosinophils/μL and/or FeNO ≥25 ppb).

Study Assessments
The endpoints analyzed in each patient subgroup were annualized severe exacerbation rates, change from baseline in pre-bronchodilator FEV_1 (L), asthma control (as measured by 5-item Asthma Control Questionnaire [ACQ-5]) and health-related quality of life (QoL; as measured by Asthma Quality of

Life Questionnaire [AQLQ]) scores during the 52-week treatment period.[12,13] Both the ACQ-5 and AQLQ are patient-reported measures; for each the minimum clinically important difference (MCID) is defined as an improvement from baseline of ≥0.5. The effect of treatment on FeNO levels during the 52-week treatment period was also assessed.

Statistical Analysis

Efficacy analyses were performed in the intent-to-treat (ITT) population, defined as all the patients who underwent randomization; data were analyzed according to the assigned intervention, whether or not it was received.[11]

Annualized rate of severe exacerbations during the 52-week treatment period was analyzed using a negative binomial regression model, including the four intervention groups, age, geographic region, baseline eosinophil strata, and number of exacerbations in the previous year as covariates. Patients who discontinued the assigned intervention were encouraged to return to the clinic for all remaining trial visits, and all severe exacerbations up to Week 52 or last contact date (whichever came earlier) were included in the analysis, regardless of whether the patient was receiving an intervention.

Change from baseline in pre-bronchodilator FEV_1 (L), ACQ-5, and AQLQ scores were analyzed using mixed-effects models with repeated measures, including assigned intervention, age, baseline eosinophil strata, visit, intervention-by-visit interaction, region (pooled country), the corresponding baseline value, and baseline-by-visit interaction as covariates. Sex and baseline height were included as additional covariates in the models for FEV_1. For patients who discontinued the assigned intervention and remained in the trial, measurements after the intervention was discontinued were included in the analysis.

Differences between dupilumab and matched placebo in the change from baseline in FeNO were analyzed in the exposed population using a rank analysis of covariance (ANCOVA) model, which adjusted for baseline FeNO, age, sex, region (pooled country), and baseline eosinophil strata.

Results
Baseline Demographic and Clinical Characteristics

Based on the stratification used in this analysis, 517 patients were classified as receiving medium-dose ICS at baseline with high FEV_1% predicted; 388 were receiving medium-dose ICS and had low FEV_1% predicted; 456 were receiving high-dose ICS and had high FEV_1% predicted and 520 were receiving high-dose ICS and had low FEV_1% predicted. There were generally few differences in characteristics between dupilumab and matched placebo groups. As would be expected, parameters of lung function, asthma control, and prior exacerbations were worse in the population of patients receiving high-dose ICS at baseline with a lower FEV_1% predicted (Table 1).

Annualized Rate of Severe Asthma Exacerbations

Among patients with elevated baseline blood eosinophils, dupilumab reduced severe exacerbation rates vs placebo in both subgroups of patients on medium-dose ICS with high FEV_1% predicted (by 50% and 67% for dupilumab 200 mg and 300 mg, respectively; P=0.06 and P=0.001) (Figure 1A) and in those on high-dose ICS with low FEV_1% predicted at baseline (by 59% for dupilumab 200 mg, P<0.001, and by 47% for dupilumab 300 mg, P<0.01) (Figure 1B).

In the subpopulation of patients with elevated blood eosinophils or FeNO at baseline, dupilumab 200 mg and 300 mg vs placebo significantly reduced the severe asthma exacerbation rate by 51% (P=0.04) and 68% (P=0.0004), respectively, in patients on medium-dose ICS and with high FEV_1% predicted (Figure 1C) and by 55% (P=0.0009) and 44% (P=0.0099) in patients on high-dose ICS with low FEV_1% predicted at baseline (Figure 1D).

These improvements in favor of dupilumab were also observed in subgroups of patients stratified by baseline ICS dose and FEV_1% predicted only (ie, not stratified by baseline levels of eosinophils or FeNO) (Supplementary Figures 1and 6), with reductions observed for each subgroup.

Pre-Bronchodilator FEV_1

In the patient population with elevated eosinophil count at baseline, dupilumab 200 mg and 300 mg vs placebo improved pre-bronchodilator FEV_1 at Week 12 by 0.16 L (95% confidence interval [CI] 0.05, 0.27; P=0.005) and 0.08 L (95% CI –0.02, 0.19; P=0.131), respectively, among patients on medium-dose ICS with high FEV_1% predicted (Figure 2A) and by 0.20 L (95% CI 0.07, 0.33; P=0.003) and 0.21 L (95% CI 0.09, 0.34; P<0.001) among patients on high-dose ICS with low FEV_1% predicted (Figure 2B). Significant improvements

Table 1 Baseline Demographic and Clinical Characteristics by Baseline ICS Dose and FEV$_1$% Predicted – ITT Population

Characteristics	Medium ICS Dose and FEV$_1$% Predicted 60–90%				High ICS Dose and FEV$_1$% Predicted <60%			
	1.14 mL/200 mg q2w		2 mL/300 mg q2w		1.14 mL/200 mg q2w		2 mL/300 mg q2w	
	Placebo (n = 75)	Dupilumab (n = 180)	Placebo (n = 91)	Dupilumab (n = 171)	Placebo (n = 80)	Dupilumab (n = 176)	Placebo (n = 93)	Dupilumab (n = 171)
Age, mean (SD), years	42.7 (18.3)	44.0 (17.1)	43.8 (16.7)	43.5 (16.7)	49.9 (13.7)	51.5 (13.1)	50.3 (13.8)	50.6 (14.1)
Female, n (%)	43 (57.3)	105 (58.3)	65 (71.4)	105 (61.4)	45 (56.3)	99 (56.3)	56 (60.2)	108 (63.2)
Height, mean (SD), cm	165.4 (10.5)	166.9 (10.0)	165.2 (9.4)	165.3 (10.0)	165.4 (9.3)	165.5 (9.4)	165.9 (11.2)	164.1 (9.6)
BMI, mean (SD), kg/m^2	26.9 (5.8)	28.2 (6.6)	28.2 (6.9)	28.1 (7.2)	31.1 (6.8)	29.4 (5.8)	30.1 (6.5)	29.8 (6.6)
Pre-bronchodilator FEV$_1$, mean (SD), L	2.2 (0.6)	2.2 (0.6)	2.1 (0.5)	2.2 (0.5)	1.4 (0.5)	1.4 (0.5)	1.4 (0.4)	1.4 (0.5)
Pre-bronchodilator FEV$_1$, mean (SD), % predicted	69.5 (6.2)	69.3 (6.2)	69.8 (6.4)	69.4 (6.1)	46.9 (9.1)	46.6 (9.2)	46.5 (8.3)	45.5 (10.3)
FEV$_1$ reversibility, mean (SD), %	21.9 (13.7)	22.7 (18.2)	23.2 (16.7)	20.5 (16.2)	26.3 (16.0)	32.2 (25.6)	29.7 (16.5)	34.5 (32.8)
Severe asthma exacerbations in past year, mean (SD), n	1.7 (1.0)	1.8 (3.8)	1.9 (1.7)	1.8 (2.1)	2.4 (2.0)	2.1 (2.1)	2.7 (2.5)	2.2 (1.5)
ACQ-5 score, mean (SD)	2.6 (0.6)	2.5 (0.6)	2.6 (0.6)	2.6 (0.7)	2.9 (0.8)	2.9 (0.9)	2.9 (0.8)	3.0 (0.8)
AQLQ score, mean (SD)	4.5 (1.0)	4.6 (1.0)	4.7 (0.9)	4.5 (1.0)	4.0 (1.1)	4.3 (1.1)	4.1 (1.0)	4.0 (1.1)
Blood eosinophil count, median (IQR), cells/μL	260 (130–440)	240 (120–440)	210 (120–410)	230 (130–450)	305 (165–600)	250 (140–470)	360 (180–540)	290 (130–500)
FeNO, median (IQR), ppb	26 (16–56)	25 (17–43)	27.50 (18–51)	24 (13–40)	23 (13–38)	24 (12–43)	30 (15.5–51.5)	25 (15–41)
Serum total IgE, median (IQR), IU/mL	182 (81–493)	153 (65–378)	205 (53–496)	178 (67–546)	225 (54–564)	147 (49–504)	162 (77–319)	192 (71–436)

Abbreviations: ACQ-5, 5-item Asthma Control Questionnaire; AQLQ, Asthma Quality of Life Questionnaire; BMI, body mass index; FeNO, fractional exhaled nitric oxide; FEV$_1$, forced expiratory volume in 1 second; ICS, inhaled corticosteroid; ITT, intent-to-treat; IQR, interquartile range; ppb, parts per billion; q2w, every 2 weeks; SD, standard deviation.

were observed as early as Week 2 for both doses vs placebo and numerical improvements were sustained over the 52-week treatment period for the majority of timepoints in all subgroups.

Also among patients with elevated blood eosinophils or FeNO at baseline, dupilumab vs placebo reduced pre-bronchodilator FEV$_1$ at Week 12 in subgroups of patients on medium-dose ICS with high FEV$_1$% predicted (LS [least squares] mean difference vs placebo: 0.14 L [95% CI 0.04, 0.25]; P=0.008 with dupilumab 200 mg, and 0.09 L [95% CI 0, 0.19]; P=0.06 with dupilumab 300 mg) (Figure 2C) and on high-dose ICS with low FEV$_1$% predicted (0.21 L [95% CI 0.08, 0.33]; P=0.001 with dupilumab 200 mg and 0.21 L [95% CI 0.09, 0.33]; P=0.0007 with dupilumab 300 mg) (Figure 2D). Improvements vs placebo were observed starting at Week 2, and these were maintained throughout the treatment period.

These improvements vs placebo were also observed in subgroups of patients stratified by baseline ICS dose and FEV$_1$% predicted only, with statistically significant improvements observed in each subgroup and for each dupilumab dose at many of the timepoints (see Supplementary Figures 2 and 7).

Asthma Control

Among patients with elevated eosinophil count at baseline, the LS mean change from baseline in ACQ-5 score at Week 24 was −1.45 (standard error [SE] 0.08, difference vs placebo −0.24 [95% CI –0.53, 0.05]; P=0.11) with dupilumab 200 mg and −1.31 (SE 0.08, difference vs placebo −0.14 [95% CI –0.42, 0.14]; P=0.34) with dupilumab 300 mg q2w, in patients on medium-dose ICS with high FEV$_1$% predicted (Figure 3A), and −1.45 (SE 0.10, difference vs placebo −0.33 [–0.67, 0]; P=0.05) and −1.47
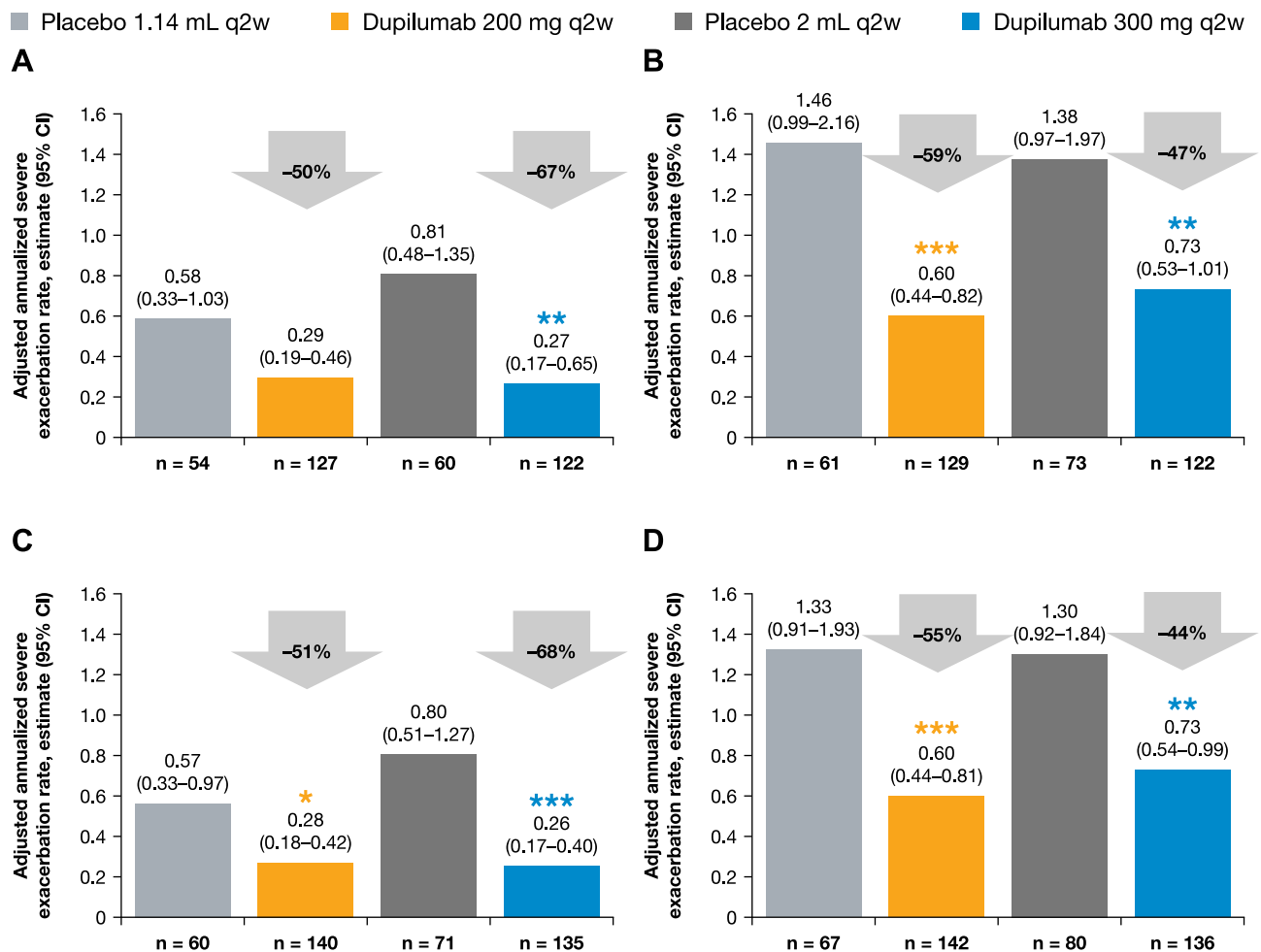
Placeholder legend: ■ Placebo 1.14 mL q2w ■ Dupilumab 200 mg q2w ■ Placebo 2 mL q2w ■ Dupilumab 300 mg q2w

Figure 1 Annualized rate of severe exacerbations over the 52-week treatment period in patients with (**A**) medium-dose ICS and FEV_1% predicted ≥60–90% and ≥150 eosinophils/μL, (**B**) high-dose ICS and FEV_1% predicted <60% and ≥150 eosinophils/μL, (**C**) medium-dose ICS and FEV_1% predicted ≥60–90% and ≥150 eosinophils/μL or ≥25 ppb FeNO, and (**D**) high-dose ICS and FEV_1% predicted <60% and ≥150 eosinophils/μL or ≥25 ppb FeNO at baseline – ITT population. ***$P<0.001$; **$P<0.01$; *$P<0.05$ vs matched volume placebo.

Abbreviations: CI, confidence interval; FeNO, fractional exhaled nitric oxide; FEV_1, forced expiratory volume in 1 second; ICS, inhaled corticosteroids; ITT, intent-to-treat; LS, least squares; ppb, parts per billion; q2w, every 2 weeks.

(SE 0.11, difference vs placebo −0.44 [95% CI −0.76, −0.12]; $P=0.008$), respectively, in patients on high-dose ICS with low FEV_1% predicted (Figure 3B). Numerical improvements vs placebo were observed starting at Week 2 and were sustained through Week 52 in all subgroups of patients for both dupilumab doses (Figure 3A and B).

In patients with elevated blood eosinophils or FeNO at baseline and on medium-dose ICS with high FEV_1% predicted, the LS mean change from baseline in ACQ-5 score at Week 24 was −1.44 (SE 0.08, difference vs placebo −0.20 [95% CI −0.48, 0.07]; $P=0.14$) for dupilumab 200 mg and −1.32 (SE 0.08, difference vs placebo −0.16 [95% CI −0.42, 0.10]; $P=0.23$) for dupilumab 300 mg (Figure 3C). Among patients on high-dose ICS with low FEV_1% predicted, the LS mean change from baseline in

ACQ-5 score was −1.47 (SE 0.10, difference vs placebo −0.29 [95% CI −0.61, 0.04]; $P=0.08$) and −1.43 (SE 0.10, difference vs placebo −0.37 [95% CI −0.68, 0.06]; $P=0.02$) for 200 mg and 300 mg doses, respectively (Figure 3D). Numerical improvements vs placebo were observed by Week 2 for both doses and were sustained over the 52-week treatment period (Figure 3C and D).

Across all subgroups, the magnitude of improvements in ACQ-5 observed with add-on dupilumab were clinically meaningful from the first timepoint, exceeding the MCID threshold of 0.5. A placebo effect was observed in the majority of timepoints.

Similar results were observed in subgroups of patients stratified by baseline ICS dose and FEV_1% predicted only (Supplementary Figures 3 and 8).
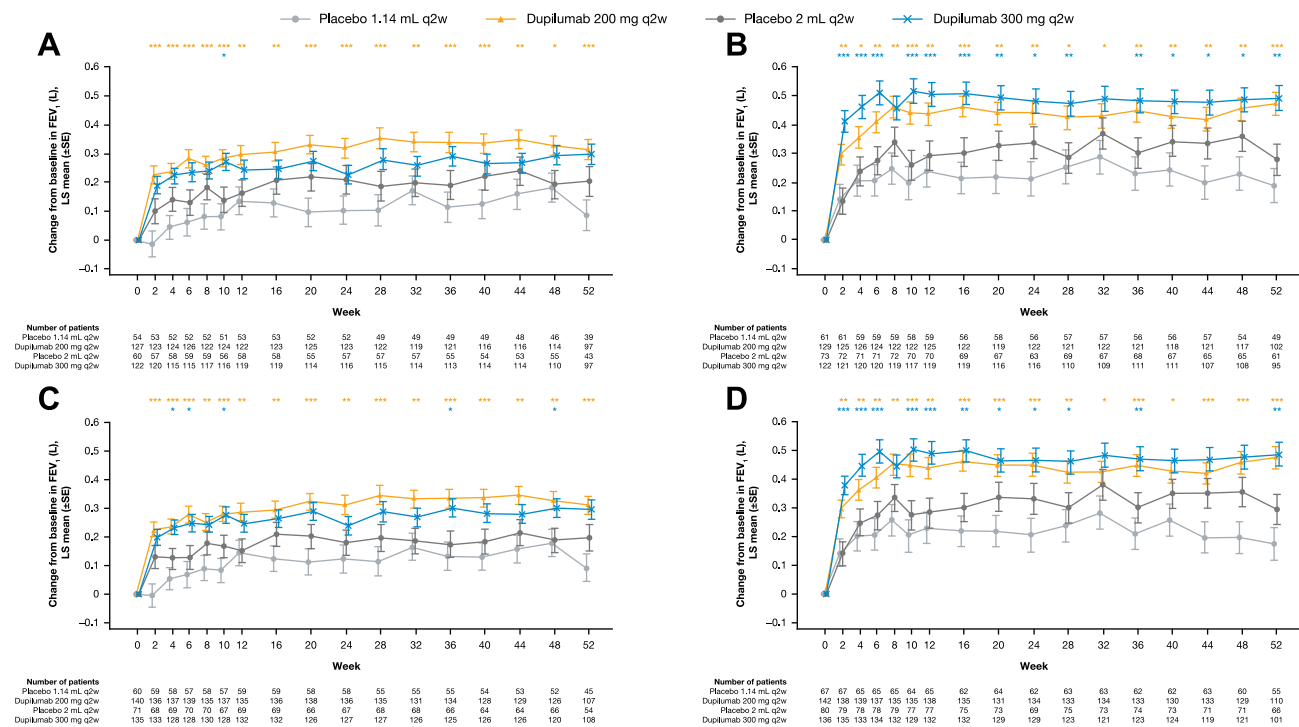
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Figure 2 Change from baseline in pre-bronchodilator FEV₁ (L) over the 52-week treatment period in patients with (**A**) medium-dose ICS and FEV₁% predicted ≥60–90% and ≥150 eosinophils/µL, (**B**) high-dose ICS and FEV₁% predicted <60% and ≥150 eosinophils/µL, (**C**) medium-dose ICS and FEV₁% predicted ≥60–90% and ≥150 eosinophils/µL or ≥25 ppb FeNO, and (**D**) high-dose ICS and FEV₁% predicted <60% and ≥150 eosinophils/µL or ≥25 ppb FeNO at baseline – ITT population. ***$P<0.001$; **$P<0.01$; *$P<0.05$ vs matched volume placebo.

Abbreviations: FeNO, fractional exhaled nitric oxide; FEV₁, forced expiratory volume in 1 second; ICS, inhaled corticosteroids; ITT, intent-to-treat; LS, least squares; ppb, parts per billion; q2w, every 2 weeks; SE, standard error.

Health-Related QoL

In patients with elevated blood eosinophils at baseline, among patients on medium-dose ICS with high FEV₁% predicted, the LS mean change from baseline in AQLQ score at Week 24 was 1.07 (SE 0.09) for dupilumab 200 mg (LS mean difference vs placebo 0.18 [95% CI −0.13, 0.49]; $P=0.252$) and 1.01 (SE 0.09) for dupilumab 300 mg (LS mean difference vs placebo 0.10 [95% CI −0.20, 0.40]; $P=0.498$) (Figure 4A) and among patients on high-dose ICS with low FEV₁% predicted was 1.11 (SE 0.10) for dupilumab 200 mg (LS mean difference vs placebo 0.13 [95% CI −0.19, 0.45]; $P=0.428$) and 1.22 (SE 0.10) for dupilumab 300 mg (LS mean difference vs placebo 0.30 [95% CI 0, 0.60]; $P=0.0496$) (Figure 4B). Numerical improvements vs placebo were observed over the 52-week treatment period with these reaching statistical significance at some timepoints during the 52-week-treatment period (Figure 4A and B).

In the subgroup of patients with elevated blood eosinophils or FeNO ≥25 ppb at baseline, LS mean change from baseline in AQLQ score at Week 24 was 1.04 (SE 0.08) (LS mean difference vs placebo 0.14 [95% CI −0.14, 0.43]; $P=0.3281$) with dupilumab 200 mg and 1.00 (SE 0.08) with dupilumab 300 mg (LS mean difference vs placebo 0.06 [95% CI −0.21, 0.33]; $P=0.6871$) in patients on medium-dose ICS with high FEV₁% predicted (Figure 4C) and was 1.14 (SE 0.09; LS mean difference vs placebo 0.13 [95% CI −0.18, 0.43]; $P=0.4145$) and 1.21 (SE 0.09; LS mean difference vs placebo 0.24 [95% CI −0.04, 0.52]; $P=0.0986$), respectively, in patients on high-dose ICS with low FEV₁% predicted (Figure 4D). Numerical improvements vs placebo, some significant, were observed over the 52-week treatment period (Figure 4C and D).

At all timepoints, the improvements in AQLQ observed with add-on dupilumab were clinically significant, exceeding the MCID of 0.5, although these benefits were not always statistically significant vs placebo treatment. A placebo effect was also observed.

Numerical, but non-significant improvements in AQLQ vs placebo were observed with dupilumab in subgroups of
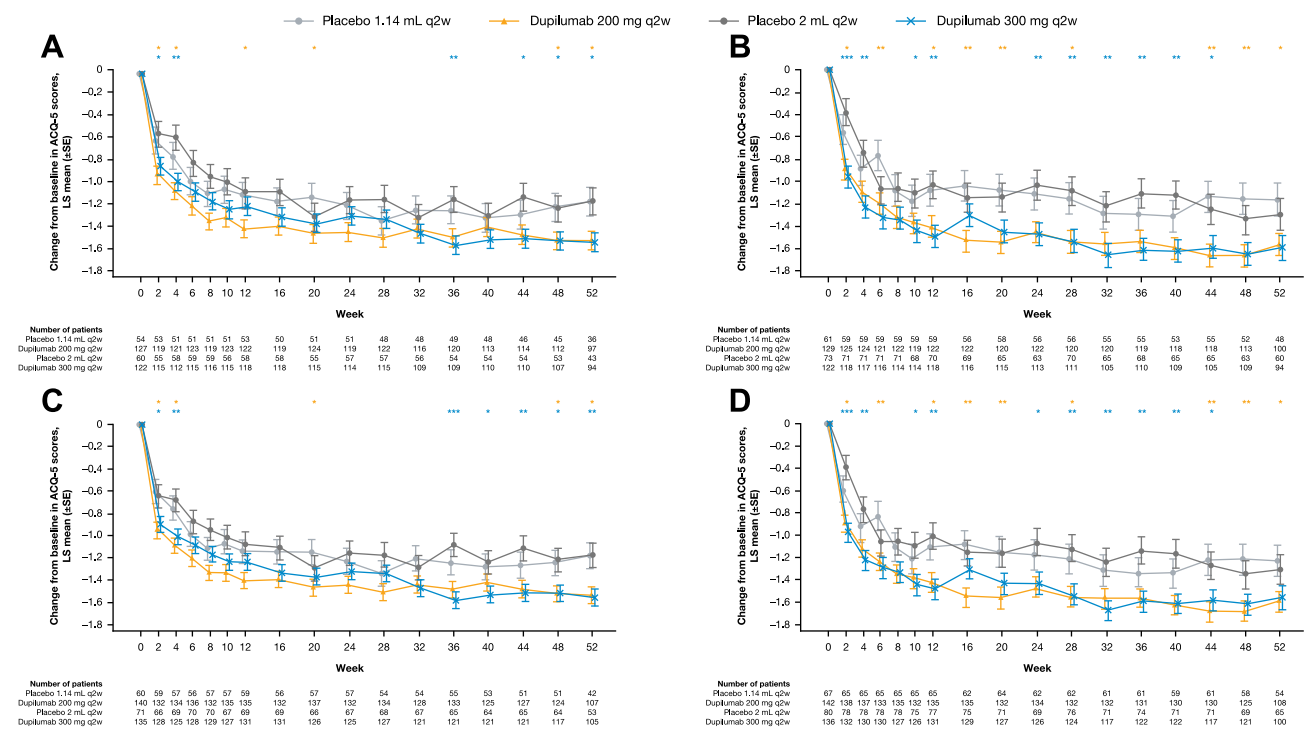
Figure 3 Change from baseline in ACQ-5 score over the 52-week treatment period in patients with (**A**) medium-dose ICS and FEV$_1$% predicted ≥60–90% and ≥150 eosinophils/μL, (**B**) high-dose ICS and FEV$_1$% predicted <60% and ≥150 eosinophils/μL, (**C**) medium-dose ICS and FEV$_1$% predicted ≥60–90% and ≥150 eosinophils/μL or ≥25 ppb FeNO, and (**D**) high-dose ICS and FEV$_1$% predicted <60% and ≥150 eosinophils/μL or ≥25 ppb FeNO at baseline – ITT population. ***P<0.001; **P<0.01; *P<0.05 vs matched volume placebo.

Abbreviations: ACQ-5, 5-item Asthma Control Questionnaire; FeNO, fractional exhaled nitric oxide; FEV$_1$, forced expiratory volume in 1 second; ICS, inhaled corticosteroids; ITT, intent-to-treat; LS, least squares; ppb, parts per billion; q2w, every 2 weeks; SE, standard error.

patients stratified by baseline ICS dose and FEV$_1$% predicted only ([Supplementary Figures 4](#) and [9](#)).

FeNO

Among patients with elevated blood eosinophils at baseline, dupilumab 200 mg and 300 mg q2w treatment significantly reduced FeNO levels vs placebo in patients on medium-dose ICS with high FEV$_1$% predicted as well as in patients on high-dose ICS with low FEV$_1$% predicted (all P<0.01 at all timepoints) ([Figure 5A](#) and [B](#)). At Week 52, the median FeNO level was 18.0 ppb and 15.0 ppb with dupilumab 200 mg and 300 mg (33.0 and 35.0 ppb for matched placebos), respectively, in patients on medium-dose ICS with high FEV$_1$% predicted and 15.0 ppb and 16.0 ppb (20.0 and 29.5 ppb for matched placebos), respectively, in patients on high-dose ICS with low FEV$_1$% predicted. Suppression of FeNO to within normal ranges occurred rapidly, near maximal suppression of FeNO was observed by the first assessment at Week 2 and it was maintained throughout the 52-week treatment period.

Comparable suppression was observed in the subgroups of patients who had elevated baseline levels of

blood eosinophils or FeNO ([Figure 5C](#) and [D](#)), and in the subgroups of patients stratified by baseline ICS dose and FEV$_1$% predicted only ([Supplementary Figures 5](#) and [10](#)).

Discussion

In this post hoc analysis of QUEST, dupilumab demonstrated significant efficacy in patients with uncontrolled, moderate-to-severe asthma, regardless of their baseline disease severity defined by baseline ICS requirement or FEV$_1$% predicted. Furthermore, dupilumab was also effective in subpopulations of patients with elevated baseline levels of the type 2 biomarkers blood eosinophils and FeNO, on medium- or high-dose ICS, and with high or low FEV$_1$% predicted at study entry.

In this analysis, dupilumab significantly reduced the annualized severe exacerbation rate and improved lung function (FEV$_1$) in patients with elevated levels of the type 2 biomarkers blood eosinophils or FeNO at baseline, with treatment effects observed in the subgroups of patients on medium-dose ICS and high FEV$_1$% predicted, and high-dose ICS and low FEV$_1$% predicted (the 2 extremes of the severity spectrum). As has been reported
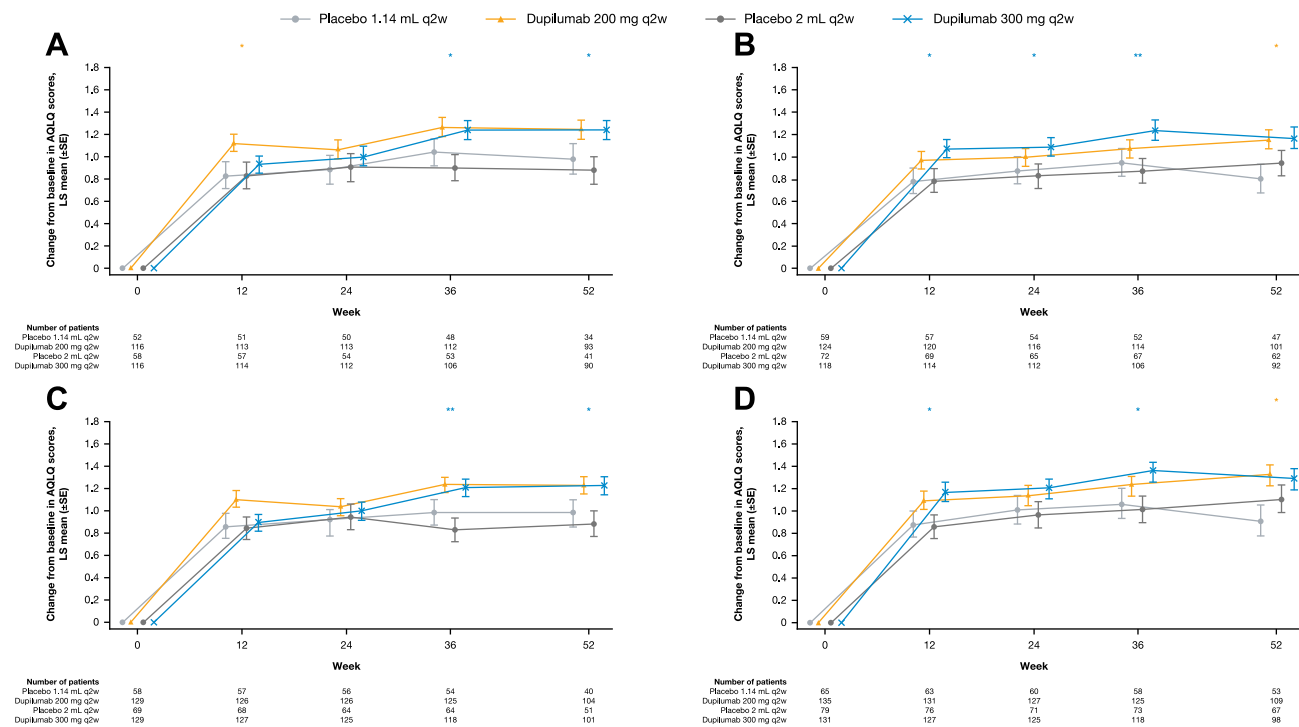
Figure 4 Change from baseline in AQLQ score over 52 weeks in patients with (**A**) medium-dose ICS and $FEV_{1\%}$ predicted ≥60–90% and ≥150 eosinophils/μL, (**B**) high-dose ICS and FEV_1% predicted <60% and ≥150 eosinophils/μL, (**C**) medium-dose ICS and FEV_1% predicted ≥60–90% and ≥150 eosinophils/μL or ≥25 ppb FeNO, and (**D**) high-dose ICS and FEV_1% predicted <60% and ≥150 eosinophils/μL or ≥25 ppb FeNO at baseline – ITT population. **$P<0.01$; *$P<0.05$ vs matched volume placebo.
Abbreviations: AQLQ, Asthma Quality of Life Questionnaire; FeNO, fractional exhaled nitric oxide; FEV_1, forced expiratory volume in 1 second; ICS, inhaled corticosteroids; ITT, intent-to-treat; LS, least squares; ppb, parts per billion; q2w, every 2 weeks; SE, standard error.

previously, improvements in FEV_1 were observed as early as 2 weeks after treatment onset and were maintained throughout the 52-week treatment period in all subpopulations examined, with statistically significant improvements observed at many of the timepoints. Similar findings were observed in the subgroups of patients on medium-dose ICS with low FEV_1% predicted and on high-dose ICS with high FEV_1% predicted.

These results suggest that not only is dupilumab efficacious in patients with elevated blood eosinophils or FeNO levels, but it is also efficacious irrespective of baseline ICS requirement or FEV_1% predicted. This is an important finding as other biologic agents have shown efficacy in patients with either moderate or severe asthma, but not in both. Of other asthma biologics available, early studies with the anti-IL-5 antibodies mepolizumab and reslizumab did not demonstrate significant clinical benefit vs placebo in patients with moderately severe asthma with persistent symptoms despite receiving ICS[2] or significant effect on lung function (FEV_1)[3] in patients with severe asthma also on ICS controller medication. However, these early studies did not select patients according to type 2 biomarkers, and no examination of the stratified data has

been published. Nevertheless, two later studies with reslizumab reported significant improvements in exacerbation rates and numerical improvements in lung function in patients with moderate-to-severe asthma with an eosinophilic phenotype.[14] The utility of biomarkers in predicting clinical benefit has also been described for the anti-IgE antibody omalizumab in allergic asthma, where greater treatment effects were observed in patient subgroups defined by elevated levels of FeNO, eosinophils, and serum periostin.[4] Overall, this post hoc analysis has confirmed that the efficacy of dupilumab q2w demonstrated in the overall population of the LIBERTY ASTHMA QUEST study is also evident in subgroups of patients with moderate and severe disease at study entry, with many of these benefits sustained over time. The greater treatment effects seen in patients who had higher baseline blood eosinophil counts or FeNO concentrations – recognized biomarkers of type 2 inflammation – were consistent with previous dupilumab asthma studies.[11,15,16] Furthermore, and consistent with its biologic action, dupilumab treatment vs placebo resulted in sustained suppression of FeNO throughout the treatment period, with near-maximal suppression observed by the first assessment at Week 2.
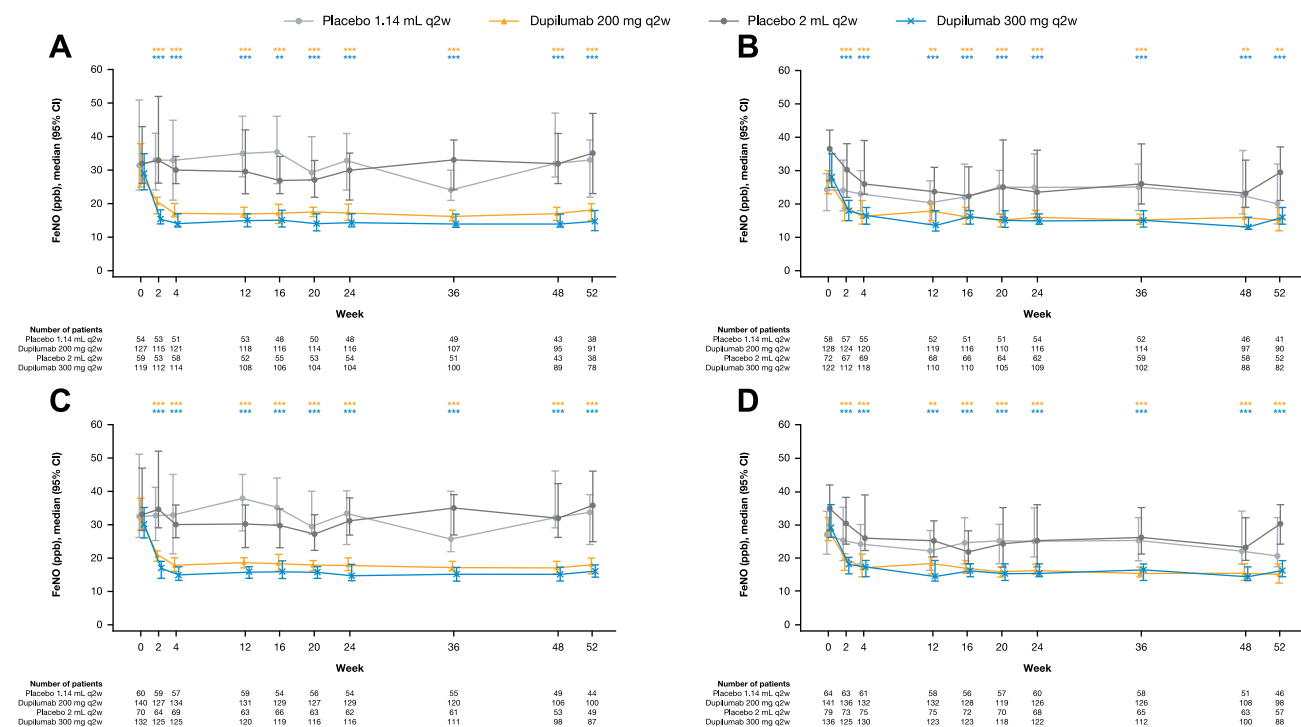
Figure 5 Median FeNO (ppb) during the 52-week treatment period in patients with (**A**) medium-dose ICS and FEV$_1$% predicted ≥60–90% and ≥150 eosinophils/μL, (**B**) high-dose ICS and FEV$_1$% predicted <60% and ≥150 eosinophils/μL, (**C**) medium-dose ICS and FEV$_1$% predicted ≥60–90% and ≥150 eosinophils/μL or ≥25 ppb FeNO, (**D**) high-dose ICS and FEV$_1$% predicted <60% and ≥150 eosinophils/μL or ≥25 ppb FeNO at baseline – exposed population. ***P<0.001; **P<0.01 vs matched volume placebo (P-values based on change from baseline vs placebo).

Abbreviations: CI, confidence interval; FeNO, fractional exhaled nitric oxide; FEV$_1$, forced expiratory volume in 1 second; ICS, inhaled corticosteroids; ppb, parts per billion; q2w, every 2 weeks; SE, standard error.

Patients enrolled in QUEST presented uncontrolled asthma with high baseline FeNO levels, which is generally correlated with poor outcomes. Our data highlight dupilumab's efficacy in this difficult-to-treat population, as it reduces FeNO levels as early as Week 2, which were sustained throughout the treatment period.

That dupilumab appears to be able to improve lung function in moderate-to-severe asthma patients with elevated biomarkers of type 2 inflammation may be explained by the fact that it targets and inhibits both IL-4 and IL-13 signaling, which are important cytokines that contribute to type 2 inflammation in asthma patients.[8,17] IL-4 plays a central role in type 2 T helper cell differentiation, inducing the production of type 2-associated cytokines and chemokines (such as IL-5, IL-9, IL-13, TARC, and eotaxins), as well as promoting isotype class switching of B cells to produce IgE and recruit eosinophils to areas of inflammation.[17,18] IL-13 on the other hand, mediates goblet cell hyperplasia and the concomitant hypersecretion of mucus, and enhances smooth muscle contractility and airway hyper responsiveness.[18]

With regard to asthma control and health-related QoL, improvements in ACQ-5 and AQLQ scores were not as marked in patients stratified by ICS use and FEV$_1$% predicted at baseline. However, differences vs placebo were of comparable magnitude to that observed in the overall ITT population.[11] The ACQ-5 and AQLQ are traditional measures of asthma severity; however, unlike biomarkers, they have no predictive potential as to the likelihood of a response. Conversely, biomarker analysis is a valuable approach, which facilitates the pre-treatment stratification of patients into those more likely to respond thereby enabling the best use of new targeted treatments.

As previously reported, dupilumab was generally well tolerated in the overall safety population.[11] The post hoc nature of the present analysis represents a limitation, as the study was not powered to specifically investigate differences in subpopulations stratified by baseline ICS dose and FEV$_1$% predicted.

Conclusion

In conclusion, this post hoc analysis has demonstrated that dupilumab is efficacious in patients with moderate asthma and in those with severe asthma as defined by baseline ICS dose requirement and FEV$_1$% predicted. These are

important findings that build on previous knowledge on the efficacy of dupilumab as they provide information on the patients likely to benefit from treatment and will be of use in informing treatment decisions.

Furthermore, we have also confirmed that the benefit of dupilumab demonstrated in the overall population of the LIBERTY ASTHMA QUEST study is also evident in subgroups of patients with elevated biomarkers of type 2 asthma, stratified by baseline ICS dose and FEV_1% predicted, with efficacy observed early and sustained over time. As such, the findings suggest that dupilumab should be considered as an add-on maintenance treatment for uncontrolled, moderate-to-severe asthma in patients with elevated blood eosinophils or FeNO, on medium-dose ICS and FEV_1% predicted ≥60–90%, as well as patients on high-dose ICS and FEV_1% predicted <60%.

Abbreviations

ACQ-5, 5-item Asthma Control Questionnaire; AQLQ, Asthma Quality of Life Questionnaire; CI, confidence interval; FeNO, fractional exhaled nitric oxide; FEV_1, forced expiratory volume in 1 second; ICS, inhaled corticosteroids; IL, interleukin; ITT, intent-to-treat; LS, least squares; ppb, parts per billion; q2w, every 2 weeks; QoL, quality of life; SC, subcutaneous; SE, standard error; TARC, thymus and activation-regulated chemokine.

Disclosure

IDP has received speakers' honoraria from Aerocrine, Almirall, AstraZeneca, Boehringer Ingelheim, GSK, Novartis, Teva; fees for organization of educational events from AstraZeneca, Teva; has been on advisory boards of Almirall, AstraZeneca, Boehringer Ingelheim, Chiesi, Circassia, Dey, Genentech, GSK, Knopp, Merck, MSD, Napp, Novartis, Regeneron Pharmaceuticals, Inc., Respivert, Sanofi, Schering-Plough, Teva; has received traveling grants from AstraZeneca, Boehringer Ingelheim, Chiesi, GSK, Napp, Teva; has received clinical trial support from Chiesi. SS has provided advisory services/participated in advisory boards for AstraZeneca, Boehringer Ingelheim, GSK, Mundipharma, Napp, Novartis, Owlstone Medical; has received speaker fees from Chiesi, ERT Medical, Novartis, Roche, European Respiratory Society, all outside the submitted work. AP has received grants, personal fees, and nonfinancial support from AstraZeneca, Boehringer Ingelheim, Chiesi, GSK, Mundipharma, Roche, Edmond Pharma, Fondazione Maugeri, Fondazione Chiesi, Teva; has received personal fees, nonfinancial support from Menarini, Novartis, Zambon; has received grants from Sanofi (all outside the submitted work). JC has received research support from Sanofi, AstraZeneca, Genentech, Novartis, Regeneron, Sanofi, Optinose. LDS has been advisor for Aimmune, Optinose, Regeneron Pharmaceuticals, Inc., Sanofi Genzyme; has received speaker fees from Regeneron Pharmaceuticals, Inc., Sanofi Genzyme; has received clinical trials funding from Aimmune, Amgen, AstraZeneca, Circassia, DBV, Galderma, GSK, Lupin, Merck, Mylan, Novartis, Novo Nordisk, Optinose, Pearl, Pfizer, Pulmagen, Roxane, Sanofi, Spirometrix, Teva, Vectura, Watson. PB has received consultant and speaker fees from AstraZeneca, Boehringer Ingelheim, GSK, Novartis, Teva. DL has received research funding from Sanofi. MSR, PR, HWS, NP, AT are employees, and may hold stock and/or stock options in Sanofi. YD, MR, NMHG are employees and shareholders of Regeneron Pharmaceuticals, Inc. H-SP has no conflicts of interest to disclose. The authors report no other conflicts of interest in this work.

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