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STATE-OF-THE-ART REVIEW

Direct Oral Anticoagulant Dosing in Patients With Atrial Fibrillation





An Asian Perspective

Tze-Fan Chao, MD, PhD, ^a Ngai-Yin Chan, MD, ^b Yi-Hsin Chan, MD, ^c Min-Soo Cho, MD, ^d Rungroj Krittayaphong, MD, ^e Kwang-No Lee, MD, PhD, ^f Shaowen Liu, MD, ^g Tachapong Ngamukos, MD, ^h Hung-Fat Tse, MDⁱ

ABSTRACT

Optimal dosing of direct oral anticoagulants (DOACs) for stroke prevention in "gray area" patients with atrial fibrillation (AF) remains a challenge for clinicians. In Asia, this is concerning in patients who are very elderly, have low body weight, and are at a high risk of bleeding. This review aims to summarize the dose reduction criteria for DOACs, discuss the evidence on dosing of DOACs across Asian regions, and collate opinions from authors across Asia. Nonrecommended dosing of DOACs is common in Asia, primarily due to the fear of bleeding, with the total clinical benefit of higher dosing being overlooked. The ELDERCARE-AF (Edoxaban Low-Dose for Elder Care-Atrial Fibrillation Patients) trial and real-world case studies provide some evidence on the use of low-dose DOACs in gray area patients. Opinions on dose adjustment guidance, concomitant medication adjustments, and therapeutic drug monitoring were collated. Research is needed to fill the evidence gaps on optimal DOAC doses for gray area patients. (JACC: Asia 2023;3:707-723) © 2023 The Authors. Published by Elsevier on behalf of the American College of Cardiology Foundation. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/).

reatment with direct oral anticoagulants (DOACs), including dabigatran, rivaroxaban, apixaban, and edoxaban, is important for stroke prevention in patients with atrial fibrillation (AF). A meta-analysis including 18 trials indicated that DOACs were more efficacious and safer than warfarin in real-world practice for stroke prevention in Asian patients with nonvalvular atrial fibrillation (NVAF). Another meta-analysis of 6 studies involving 3,542 Asian and 23,481 non-Asian patients revealed that DOACs may be a safer treatment option in Asian patients, as analysis of safety endpoints

significantly favored DOACs compared with vitamin K antagonists in Asian patients but not in non-Asian patients.³ However, optimal dosing of DOACs is a challenge, as they require dose adjustment based on individual patient characteristics and concomitant medication use.⁴ Off-label dosing with DOACs, defined as the use of DOACs not conforming to label dosing recommendations, is inadequate but common in the real-world clinical setting⁵; it can be categorized into nonrecommended low dosing (underdosing) and nonrecommended high dosing (overdosing).^{5,6} While guidelines recommend that

From the ^aDivision of Cardiology, Department of Medicine, Taipei Veterans General Hospital and National Yang Ming Chiao Tung University, Taipei, Taiwan; ^bDepartment of Medicine and Geriatrics, Princess Margaret Hospital, Lai Chi Kok, Hong Kong SAR; ^cMicroscopy Core Laboratory, Linkou Chang Gung Memorial Hospital, Linkou, Taiwan; ^dDepartment of Cardiology, Center for Medical Research and Information, Asan Medical Center, Seoul, South Korea; ^eDivision of Cardiology, Department of Medicine, Siriraj Hospital, Mahidol University, Bangkok, Thailand; ^fDivision of Cardiology, Department of Internal Medicine, Ajou University Hospital and Ajou School of Medicine, Suwon, South Korea; ^gDepartment of Cardiology, Shanghai General Hospital, Shanghai Jiao Tong University School of Medicine, Shanghai, China; ^hDepartment of Medicine, Faculty of Medicine, Ramathibodi Hospital, Mahidol University, Bangkok, Thailand; and the ⁱDepartment of Medicine, The University of Hong Kong, Hong Kong SAR.

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ABBREVIATIONS AND ACRONYMS

AF = atrial fibrillation

CG = Cockcroft-Gault

CrCI = creatinine clearance

DOAC = direct oral anticoagulant

HDER = higher-dose edoxaban regimen

IS = ischemic stroke

LDER = lower-dose edoxaban regimen

MDRD = Modification of Diet in Renal Disease

NAVL = nonvalvular atrial fibrillation

OD = once daily

RCT = randomized controlled trial

SE = systemic embolism

TDM = therapeutic drug monitoring

VLBW = very low body weight

DOACs be dosed according to the eligibility criteria established in pivotal randomized controlled trials (RCTs), not all patients with AF in real-world settings fit the eligibility criteria of these studies⁷; hence, whether the label dosing is appropriate or not for these patients remains uncertain.8 In clinical practice, especially in Asia, clinicians tend to prescribe nonrecommended low doses of DOACs, mainly due to concerns about the risk of bleeding.1 Although there are many observational and real-world reports on the nonrecommended dosing of DOACs in patients with AF, with substantial differences in findings among different studies, the efficacy and safety of these nonrecommended doses remain unclear.1

With a lack of dosing guidance, clinicians face difficulties in decision-making regarding the prescription of optimal on-label DOAC doses for these patients outside the eligibility criteria of pivotal RCTs (so-called gray area cases). This is especially challenging in Asia,

as a higher proportion of Asian patients with AF are very elderly (defined as over 75 years of age or even older), are frail, and have low body weight compared with non-Asian patients. To address the issue regarding the nonrecommended dosing of DOACs, we conducted a comprehensive and systematic review of the available literature on DOAC dosing in Asia by searching PubMed using the broad terms "nonvitamin K antagonist oral anticoagulant" or "direct oral anticoagulant therapy" or "NOAC" or "DOAC" in the title or abstract, along with the terms "prospective" or "prospectively," or "retrospective" or "retrospectively" and "observational" in all fields. The search included studies from Japan, South Korea, Taiwan, Hong Kong, and Thailand. Only articles in English published in the last 5 years were included. Prospective studies with <1,000 AF patient cases on anticoagulation treatment and retrospective studies with <3,000 AF patient cases on anticoagulation treatment were excluded. Focusing on large, observational studies provides valuable and robust insights into real-world prescribing practices and patient outcomes. Following an in-depth discussion and a collaborative scientific exchange of opinion, we have summarized the on-label DOAC dose reduction criteria across the treatment landscape, provided insights into the current clinical data

nonrecommended dosing in the Asian population, and identified the challenges faced by clinicians.

CURRENT DOSE REDUCTION CRITERIA ACROSS DOAC TREATMENT LANDSCAPE: AN ASIAN PERSPECTIVE

KEY CONSIDERATIONS IN DOAC DOSING. Twelve subgroups of high-risk patients with AF were identified following a risk stratification analysis of the ENGAGE AF-TIMI 48 (Effective Anticoagulation with Factor Xa Next Generation in Atrial Fibrillation-Thrombolysis In Myocardial Infarction 48) RCT, which analyzed the relationship between risk factors and net clinical outcome, a composite of stroke/systemic embolism (SE) events, major bleeding, or death: 1) elderly (age ≥75 years); 2) increased risk of falls; 3) moderate renal dysfunction (creatinine clearance [CrCl] 30-50 mL/min); 4) prior cerebrovascular disease; 5) concomitant single antiplatelet therapy; 6) vitamin K antagonist naïve; 7) history of heart failure; 8) valvular heart disease; 9) malignancy; 10) prior coronary artery disease; 11) self-reported as Asian race; and 12) very low body weight (VLBW) (<55 kg).

With respect to the Asian population, the 3 most relevant high-risk factors for patients with AF were identified as older age, high risk of bleeding, and low body weight based on the following findings:

AGE. Although Asia has a lower prevalence of AF, ¹⁰ the proportion of the elderly population is much higher and increasing compared with that in other regions. 11 The age category of >75 years is typically used to define the elderly9; however, Asian physicians typically consider >75 years not old enough in Asia and instead suggest that only patients with AF ≥85 years of age should be categorized as elderly with AF at high risk. The mean or median age of patients enrolled in previous landmark clinical trials of DOACs was 70 to 73 years, which is 5 to 10 years younger than the age of the general population with AF. 12-16 Therefore, the findings from these major trials cannot be easily extrapolated to older patients, especially those who are ≥ 85 years of age. 12

RISK OF BLEEDING. It is necessary to assess all patient characteristics that are risk factors for bleeding, such as prior bleeding, age, cardiovascular disease, renal function impairment, anemia, and concomitant medications.¹⁷ As clinicians are concerned about bleeding in their patients, they tend to prescribe a lower dose if deemed necessary. Low dosing in Asian

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patients is frequently prescribed even though the patient meets higher dosing criteria. The rationale for this is based on an epidemiological study, which showed that the Asian population has a higher risk of intracranial hemorrhage than the Western population. Therefore, clinicians believe that it is critical not to increase the risk of bleeding in this distinct population.

BODY WEIGHT. Body weight is another important risk factor that should be considered, as Asian patients tend to have VLBW. An analysis of baseline patient characteristics in the ENGAGE AF-TIMI 48 trial with Asian (n = 2,909) and non-Asian (n = 18,195) patients showed that Asian patients were 20 kg lighter on average.¹⁹ Therefore, patients with a lower body weight may have higher drug exposure, placing them at risk of severe bleeding when treated with higher-dose DOACs.

DOSE REDUCTION CRITERIA FOR DOAC TREATMENT.

The major treatment guidelines recommend dosing DOACs according to the doses tested in RCTs,⁸ and are usually consistent with the prescribing information (Table 1). Each region has its own regulatory labeling, and physicians are advised to adhere to that labeling. The key points highlighting the dose reduction criteria for different DOACs are described subsequently.

Dabigatran. No dose reduction criteria were available for dabigatran in the pivotal RCT,¹⁴ but the

prescribing information and guidelines provide that information (**Table 1**).^{20,21} Despite the 75-mg dose not being evaluated,¹⁴ the U.S. Food and Drug Administration approved both the 150-mg BID higher dose and 75-mg BID lower dose.²⁰ The dose reduction criteria in the U.S. label are based on renal clearance only,²⁰ whereas the European Society of Cardiology and the European Medicines Agency consider patient age and risk of bleeding or the concomitant usage of medications.^{21,22}

Rivaroxaban. The dose reduction criteria across regional labeling^{23,24} vary slightly from the criteria established in the pivotal RCT¹⁵ and primarily considers renal function (**Table 1**). Notably, the dose reduction is 25%—from 20 mg once daily (OD) to 15 mg OD—rather than 50%, as seen for edoxaban and apixaban (**Table 1**). As a result, some physicians may prefer to use rivaroxaban in a dose reduction setting, as it allows for a smaller dose reduction. However, whether the differences in the percentage of dosage reduction across different DOACs have an impact on clinical outcomes is currently unknown and is not supported by available evidence.

Apixaban. The 50% dose reduction criteria considered patients' age, body weight, and renal function (Table 1). It is worth mentioning that the approved dose reduction criteria for apixaban are the same globally, unlike those for other DOACs, which vary among countries.

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TABLE 1 Continued				
	Dabigatran	Rivaroxaban	Apixaban	Edoxaban ^a
Region-specific dose-red	lucing criteria			
U.S. label	CrCl 15-30 mL/min: 75 mg BID CrCl <15 mL/min: no dosing recommendation ²⁰	CrCl ≤50 mL/min: 15 mg OD ²⁴	If \ge 2 criteria met: 2.5 mg BID Age \ge 80 y Weight \le 60 kg Cr \ge 1.5 mg/dL ⁶⁴⁻⁷⁰	CrCl 15-50 mL/min: 30 mg OD^{71}
Europe label	If \geq 1 criteria met: 110 mg BID Age \geq 80 y Concomitant use of verapamil ²¹	CrCl 15-49 mL/min: 15 mg OD ²³		If ≥1 criteria met: 30 mg OD CrCl 15-50 mL/min Weight ≤60 kg Concomitant use of dronedarone, cyclosporin, erythromycin, or ketoconazole ⁷²
Korea label	If ≥1 criteria met: 110 mg BID CrCl 30-50 mL/min Age ≥75 y Concomitant use of amiodarone, verapamil, or dronedarone Concomitant use of clopidogrel, aspirin, or NSAIDs Increased bleeding risk (coagulopathy, thrombocytopenia, platelet dysfunction, recent major trauma or biopsy, or infective endocarditis) ⁷⁰	Age ≥80 y CrCl 15-50 mL/min ⁷⁰		If ≥1 criteria met: 30 mg OD CrCl 15-50 mL/min Weight ≤60 kg Concomitant use of dronedarone, cyclosporin, erythromycin, or ketoconazole ⁷⁰ 15 mg OD in elderly with a high risk of bleeding and CrCl 15-30 mL/min ²⁶
Hong Kong label	Same as EMA label ⁷³	Same as EMA label ⁷³		Same as EMA label ⁷⁴
Taiwan label	Patients at risk of bleeding: 110 mg BID Age ≥75 y CHADS ₂ score >3 Weight <50 kg Previous gastrointestinal bleeding CrCl 30-50 mL/min ⁷⁵	CrCl 15-50 mL/min: 10-15 mg OD CrCl 15-30 mL/min: Use with caution ⁷⁶		If ≥1 criteria met: 30 mg OD CrCl 15-50 mL/min Weight ≤60 kg Concomitant use of dronedarone, cyclosporin, erythromycin, or ketoconazole 15 mg OD for elderly (defined as those age ≥80 y) and meeting both the following criteria: 1. If ≥1 criteria met: history of hemorrhage in important organs, weight ≤45 kg, CrCl 15-29 mL/min, regular use of NSAIDs, use of antiplatelet drugs 2. Unable to receive a usual dose or an approved dose of another oral anticoagulant due to the risk of hemorrhage ²⁷
Japan label	110 mg BID if age ≥70 y, CrCl 30-50 mL/min, a history of gastrointestinal bleeding, or concomitant use of oral P-glycoprotein inhibitors ⁷⁷	CrCl 15-49 mL/min: 10 mg OD ⁷⁸		If ≥1 criteria met: 30 mg OD CrCl 15-50 ml/min Weight ≤60 kg Concomitant use of drugs with a P-glycoprotein inhibitory effect 15 mg OD same as Taiwan ²⁵
Thailand label	110 mg BID Age ≥80 y ⁷⁹	CrCl 30-49 mL/min: 15 mg OD ⁸⁰		Same as EMA label ⁸¹
China label	Same as EMA label ⁸²	If \geq 1 criteria met: 15 mg OD Age $>$ 75 y Low body weight ⁸³	Not approved in China	Same as EMA label ⁸⁴

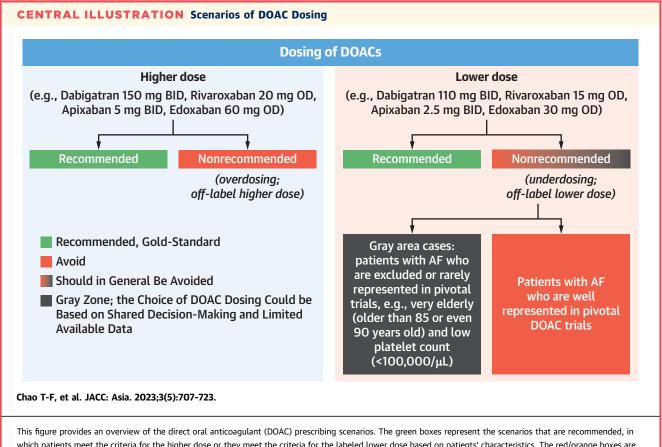
^a15-mg OD is the approved dose for edoxaban in Japan, Taiwan, and South Korea for patients meeting the eligibility criteria of the ELDERCARE-AF study. ¹² ^b15-mg OD is the higher dose for rivaroxaban in Japan and Taiwan. ^cThe lower dose of dabigatran was not determined in the RE-LY trial. This was defined based on labeling in different countries. ^d10-mg OD is the lower dose for rivaroxaban in Japan and Taiwan only.

ARISTOTLE = Apixaban for Reduction in Stroke and Other Thromboembolic Events; BID = twice daily; Cr = creatinine; CrCl = creatinine clearance; CHADS₂ = congestive heart failure, hypertension, age ≥75 (doubled), diabetes, previous stroke, or transient ischemic attack; ELDERCARE-AF = Edoxaban Low-Dose for Elder Care - Atrial Fibrillation Patients; EMA = European Medicines Agency; ENGAGE AF-TIMI 48 = Effective Anticoagulation With Factor Xa Next Generation in Atrial Fibrillation-Flrombolysis In Myocardial Infarction 48; HF = heart failure; NSAID = nonsteroidal anti-inflammatory drug; OD = once daily; RCT = randomized controlled trial; RE-LY = Randomized Evaluation of Long-Term Anticoagulation Therapy; ROCKET-AF = Rivaroxaban Once Daily Oral Direct Factor Xa Inhibition Compared With Vitamin K Antagonism for Prevention of Stroke and Embolism Trial in Atrial Fibrillation; SE = systemic embolism.

Edoxaban. The 50% dose reduction criteria considered patients' weight, renal function, and any concurrent medications that may increase edoxaban exposure (**Table 1**). A lower 15-mg dose was recently approved in a few Asian regions²⁵⁻²⁷ based on the outcome of

the ELDERCARE-AF (Edoxaban Low-Dose for Elder Care-Atrial Fibrillation Patients) study for high-risk patients who were not candidates for higher doses of oral anticoagulants, including those with low body weight of \leq 45 kg (Table 1).

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This figure provides an overview of the direct oral anticoagulant (DOAC) prescribing scenarios. The green boxes represent the scenarios that are recommended, in which patients meet the criteria for the higher dose or they meet the criteria for the labeled lower dose based on patients' characteristics. The red/orange boxes are scenarios that physicians should avoid. The gray area box is for patients who are outside the eligibility criteria of pivotal randomized controlled trials. The choice of the DOAC dose needs to be carefully considered based on limited evidence. AF = atrial fibrillation; BID = twice daily; OD = once daily.

DOSE REDUCTION FOR DOAC TREATMENT CONCLUSIONS.

In Asia, for very elderly patients with low body weight who do not meet the on-label criteria (referred to as gray area cases), adopting on-label dosing is difficult, leading to nonrecommended dosing, in which physicians are more likely to select a lower dose of DOACs. The recommended and nonrecommended dosing scenarios are outlined in the **Central Illustration**. Our summarized opinion for dose reduction criteria for DOAC treatment is presented in **Figure 1**.

DATA ON NONRECOMMENDED DOSING OF DOACS IN ASIA

PREVALENCE AND REASONS FOR NONRECOMMENDED DOSING. Table 2 provides an overview of the prevalence of nonrecommended dosing across all DOACs as

lence of nonrecommended dosing across all DOACs as well as the characteristics of patients prescribed nonrecommended doses in various Asian regions based on observational, real-world studies.²⁸⁻³⁶ According to a study that used the Taiwan National

Health Insurance database in patients with NVAF, compared with warfarin, low-dose DOACs were mostly prescribed (edoxaban: 64%; apixaban: 64%; rivaroxaban: 94%; dabigatran: 89%), regardless of whether the patient met the higher or lower dose (onlabel) criteria.²⁸

Overall, the prevalence of nonrecommended (off-label) dosing with all DOACs ranged from 32% to 38% in these Asian regions, with nonrecommended underdosing being more prevalent at 22% to 36% than nonrecommended overdosing at 2% to 11%. 29,30,37 Two studies that specifically assessed nonrecommended dosing of edoxaban in Asia showed that the prevalence of nonrecommended/inappropriate use was 24% to 43%. 38,39 The XAPASS (Xarelto Post-Authorization Safety & Effectiveness Study in Japanese patients with AF) trial's 1-year follow-up study found that 36% of Japanese patients with AF treated with rivaroxaban were underdosed, with the top 3 reasons cited as high bleeding risk, elderly status, and renal impairment. 40

FIGURE 1 Dose Reduction Criteria for Treatment With Direct Oral Anticoagulants

Dose-reduction criteria

- To avoid unnecessary complications, physicians should adhere to regulatory labeling and avoid inappropriate underdosing or overdosing when patients meet the criteria for standard or lower dosing.
- Complicated, fragile patients with a high risk of bleeding treated for stroke prevention and
 who were excluded or rarely represented in pivotal trials are considered "gray-area" cases
 and need to be managed very carefully as the optimal dose in these patients has not been
 established.

This figure represents the summarized opinion for dose reduction criteria for direct oral anticoagulant treatment. Avoid unnecessary complications by following regulatory labeling. Gray area patients, defined as patients outside the eligibility criteria of pivotal randomized controlled trials, need to be managed very carefully.

The prevalence of nonrecommended dosing of DOACs in these Asian regions, especially underdosing, was relatively higher than that reported in non-Asian regions.41 Analysis of the prospective and multinational GARFIELD-AF (Global Anticoagulant Registry in the FIELD-AF) registry showed that a majority of patients (72.9%) received the recommended dosing, whereas 23.2% were underdosed and 3.8% were overdosed.41 An analysis of the U.S. administrative database, Medicare, with 14,865 patients with NVAF who initiated apixaban, dabigatran, or rivaroxaban showed that among patients with renal indication for dose reduction, 43.0% of patients were potentially overdosed, whereas among patients with no renal indication for dose reduction, 13.3% were potentially underdosed.⁴² Analysis of the ORBIT-AF II (Outcomes Registry for Better Informed Treatment of Atrial Fibrillation II) registry in the U.S. with 7,925 patients with AF showed that 84% of patients received DOACs at the higher dose, whereas 16% of patients received the lower dose, although 57% of these did not meet the recommended dose reduction criteria.43

Most patients who were prescribed DOACs at the nonrecommended lower dose in Asia were elderly, had low body weight, had renal dysfunction, or were at a high risk of bleeding (Table 2). ^{28,29,31,33,35} As oral anticoagulation is usually a preventive strategy in Asian patients with NVAF, physicians tend to use low-dose DOACs to avoid the side effects of major bleeding, overlooking the total clinical benefits. The prevalence of nonrecommended dosing by individual DOACs in Asia is presented in Table 3.^{39,40,44}

NONRECOMMENDED DOSING OF DOACS AND CLINICAL OUTCOMES. Several real-world global studies have shown that nonrecommended lower doses of DOACs

are associated with decreased benefit, an increased risk of stroke, and an increased risk of all-cause mortality. The ORBIT-AF II registry showed that compared with patients receiving higher dosing, those receiving inappropriately lower-dose DOACs had higher unadjusted rates of thromboembolic events (HR: 1.56; 95% CI: 0.92-2.67) and death (HR: 2.61; 95% CI: 1.86-3.67). Analysis of the prospective multinational GARFIELD-AF registry showed that with recommended dosing, recommended dosing (underdose and overdose combined) was associated with a higher risk of allcause mortality (adjusted HR: 1.24; 95% CI: 1.04-1.48). The risks of stroke/SE and major bleeding were not significantly different based on the level of dosing, although underdosed patients had a significantly lower risk of bleeding and overdosed patients showed a trend toward higher risks of stroke/SE and major bleeding, although not statistically significant.41 A few other studies have shown that among DOACs, underdosing of apixaban was associated with a higher risk of stroke^{42,45} or all-cause mortality.⁴⁶ An analysis of the ENGAGE AF-TIMI 48 trial showed that the risk of stroke/SE was higher, but the risk of major bleeding was lower with the lower-dose edoxaban regimen (LDER) compared with the higher-dose edoxaban regimen (HDER). The risk of reaching the primary net clinical outcome (stroke/SE, major bleeding, or death) was significantly lower with the LDER compared with the HDER (P = 0.014). For patients with a history of cancer, it seemed that the risk of secondary net clinical outcomes (disabling stroke, life-threatening bleeding, or death) was lower with the LDER.47 A meta-analysis of 16 studies with 130,609 patients showed that compared with on-label dosing, off-label underdosing of DOACs was

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associated with a higher risk of ischemic stroke (IS)/ SE (HR: 1.22; 95% CI: 1.05-1.42; P=0.01), and yet the incidence of major bleeding was similar (HR: 0.95; 95% CI: 0.82-1.11; P=0.48). Off-label underdosing was associated with a higher risk of net clinical outcomes (HR: 1.19; 95% CI: 1.04-1.40; P=0.04) and allcause death (HR: 1.24; 95% CI: 1.04-1.48; P=0.02)

compared with on-label dosing.⁴⁸ These findings were similarly validated in studies conducted in Asia (**Table 2**). Underdosing of DOACs was found to be associated with a significantly higher risk of IS/SE,³⁰ while overdosing was found to be associated with a similar risk of major bleeding.^{30,37} Incidence rates of stroke/non-central nervous system SE/myocardial

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infarction were higher for underdosed vs recommended doses of rivaroxaban in a population of patients with NVAF in Japan. ⁴⁰ A few studies found no association between clinical outcomes and low-dose DOACs²⁸ or inappropriately prescribed edoxaban. ³⁸ Analysis of the Korean National Health Insurance Service Database showed that among Korean adults <75 years of age without chronic kidney

disease, the use of low-dose apixaban did not demonstrate a clinical benefit over warfarin with respect to thromboembolic events (HR: 0.99; 95% CI: 0.76-1.28) and mortality (HR: 0.85; 95% CI: 0.62-1.16).⁴⁹

NONRECOMMENDED DOSING OUTCOMES CONCLUSION.Our summary of nonrecommended dosing of DOACs

Our summary of nonrecommended dosing of DOACs and clinical outcomes is shown in Figure 2.

TABLE 2 Continued								
First Author (Year) Study	Location	Study Period	Design/ Patients	Prevalence of Nonrecommended Dose of DOACs	Characteristics of Patients/Factors Associated With Nonrecommended Dosing	Clinical Outcome Associated With Nonrecommended Dosing	Conclusion/ Main Message	
Yu et al (2020) ³⁶ Korean NHI System database	Korea	January 2013 to December 2016	Retrospective NHI database review: N = 53,649 Edoxaban: n = 5,194 Apixaban: n = 11,933 Rivaroxaban: n = 20,143 Dabigatran: n = 16,379		Patients taking dabigatran or apixaban were prescribed underdoses more frequently than those taking rivaroxaban or edoxaban, whereas patients taking rivaroxaban or edoxaban were more frequently prescribed overdoses than those taking dabigatran or apixaban	Significantly higher rate of stroke and SE, major bleeding, gastrointestinal bleeding, and all-cause death in patients with DOAC overdosing in comparison with labeled use or underdosing	Adverse clinical consequences were higher in the overdosing group compared with the on-label dosing group, and there was no safety benefit of underdosing compared with the labeled use/dosing of DOACs Label adherence of DOAC dosing is important to improve clinical outcomes in patients with AF	

^aAll DOACs (dabigatran, rivaroxaban, apixaban, and edoxaban).

AF = atrial fibrillation; ANAFIE = All Nippon AF In Elderly; CA = catheter ablation; CHA₂DS₂-VASc = congestive heart failure, hypertension, age ≥75 (doubled), diabetes, stroke (doubled), vascular disease, age 65-74 years, sex category (female); CODE-AF = Comparison Study of Drugs for Symptom Control and Complication Prevention of Atrial Fibrillation; CrCl = creatine clearance; DIRECT = Safety and effectiveness of 4 Different direct oRal anticoagulants, dabigatran, rivaroxaban, apixaban and edoxaban in the rEal-world Clinical pracTice: a single center prospective all-comer registry; DOAC = direct oral anticoagulant; HAS-BLED = hypertension, abnormal liver/renal function, stroke history, bleeding history or predisposition, labile international normalized ratio, elderly, drug/alcohol usage; IS = ischemic stroke; NHI = National Health Insurance; NVAF = nonvalvular atrial fibrillation; ORBIT-AF II = Outcomes Registry for Better Informed Treatment of Atrial Fibrillation II; RYOUMA = Real-world ablation therapy with anti-CoaoUlants in Management of Atrial fibrillation: other abbreviations as in Table 1.

EVIDENCE OF THE USE OF LOW-DOSE DOACS FOR GRAY AREA PATIENTS

A subanalysis of the pivotal, randomized controlled ENGAGE AF-TIMI 48 trial comparing higher-dose edoxaban (60 mg OD), lower-dose edoxaban (30 mg OD), and warfarin found that dose reduction preserved the efficacy of edoxaban compared with warfarin and provided greater safety. ⁵⁰ The findings validate the strategy that tailoring the edoxaban dose based on clinical factors achieves the dual goal of preventing excess drug concentrations and optimizing an individual patient's risk for ischemic and bleeding events, and also shows that the therapeutic window for edoxaban is narrower for major bleeding than for thromboembolism.

THE ELDERCARE-AF STUDY. Elderly individuals are at a higher risk of bleeding while using DOACs due to perceived risk factors such as renal failure, a history of bleeding, prior falls, polypharmacy, and/or frailty. In addition, due to a lack of data for this patient population, clinicians are hesitant to prescribe DOACs.¹² The ELDERCARE-AF trial fills a gap in the knowledge about the optimal anticoagulation strategy for very elderly patients at high risk of bleeding.¹² The objective of the ELDERCARE-AF trial was to provide evidence in support of an anticoagulant regimen for high-risk elderly patients. This was a phase 3 multicenter, randomized, double-blind, placebo-controlled, event-driven trial comparing an OD

15-mg dose of edoxaban with placebo in elderly Japanese patients (≥80 years of age) with NVAF who were deemed ineligible for oral anticoagulant therapy at doses approved for stroke prevention. A total of 984 patients were randomized in a 1:1 ratio to receive either a daily dose of 15 mg of edoxaban (n = 492) or a placebo (n = 492). The annualized rates of stroke or SE were 2.3% in the edoxaban group and 6.7% in the placebo group (HR: 0.34; 95% CI: 0.19-0.61; P < 0.001), and the annualized rates of major bleeding were 3.3% in the edoxaban group and 1.8% in the placebo group (HR: 1.87; 95% CI: 0.90-3.89; P = 0.09). No significant difference in death from any cause was observed between groups (9.9% in the edoxaban group and 10.2% in the placebo group; HR: 0.97; 95% CI: 0.69-1.36). The study concluded that an OD 15-mg dose of edoxaban was superior to placebo in preventing stroke or SE and did not result in a significantly higher incidence of major bleeding than the placebo in very elderly Japanese patients with NVAF who were not appropriate candidates for higher doses of oral anticoagulants. The edoxaban 15-mg OD dose is now approved in Japan and South Korea since August 2021 and in Taiwan since June 2022 for patients who meet the ELDERCARE-AF study eligibility criteria.25-27

This study provides important clinical evidence for the use of edoxaban in this high-risk population. However, whether the results of ELDERCARE-AF are limited to edoxaban or can be generalized to other DOAC Dosing for AF in Asia

First Author (Year) Study	Location	Study Period	Design/ Patients	Prevalence of Nonrecommended Dose of DOACs	Characteristics of Patients/Factors Associated With Nonrecommended Dosing	Clinical Outcome Associated With Nonrecommended Dosing	Conclusion/ Main Message
Edoxaban Chao et al (2021) ³⁹ ETNA-AF program	Taiwan, South Korea	Taiwan: May 2017 to September 2020 Korea: February 2017 to May 2020	Prospective, observational noninterventional regional study: N = 2,677	Patients who should be on 60 mg per label: Recommended dose: 66.9% Nonrecommended dose: 33.1% Patients who should be on 30 mg per label: Recommended dose: 76.1% Nonrecommended dose: 23.9%	Compared with the recommended 60-mg group, the nonrecommended 30-mg group had a higher proportion of patients with baseline characteristics of age ≥75 y; higher stroke, IS, and bleeding risks; and a history of major bleeding The nonrecommended 60-mg group had a lower proportion of patients ≥75 years of age, a higher history of stroke, and lower history of bleeding compared with the recommended 30-mg group	NA	Physicians take patient clinical characteristics (eg, bleeding risks) into consideration when deviating from the dosing recommendation per label
Rivaroxaban Ikeda et al (2019) ⁴⁰	Japan	2012-2014	1-y follow-up of XAPASS, a real- world, Japanese, prospective, single-arm, observational study: N = 6,521	Recommended dose: 64.2% Nonrecommended underdose: 35.8%	The top reasons for using nonrecommended underdosing of DOACs were high bleeding risk, followed by elderly age, renal impairment, low body weight, and concomitant medications	Incidence rates of major bleeding were comparable between underdosed vs recommended-dose groups, although the incidence rates of stroke/non-CNS SE/MI were higher in the underdosed vs recommended-dose groups (P = 0.009)	Considering the total clinical benefit, the recommended dose may be preferable in terms of the balance between safety and effectiveness
Apixaban Lee et al (2021) ⁴⁴ Korean Nationwide Claims database	Korea	January 2015 to December 2017	Retrospective database study: N = 7,084	On-label higher dosing: n = 4,194 Off-label underdosing: n = 2,890	Off-label lower dosed group were older; had higher mean CHADS ₂ scores; had more comorbidities including hypertension, diabetes mellitus, heart failure, peripheral artery disease, chronic obstructive pulmonary disease, and cancer; and had lower mean body weight than those in the on-label higher-dose group	Patients prescribed off- label underdosed apixaban showed a higher risk of IS (adjusted HR: 1.38; 95% CI: 1.06-1.81), all-cause death (adjusted HR: 1.19; 95% CI: 1.01-1.39), and the composite outcome (adjusted HR: 1.17; 95% CI: 1.03-1.34) but with no significant differences in major bleeding between the 2 groups	

CNS = central nervous system; ETNA-AF = Edoxaban Treatment in Routine Clinical Practice for Patients With Non Valvular Atrial Fibrillation; MI = myocardial infarction; NA = not available; XAPASS = Xarelto Post-Authorization Safety & Effectiveness Study in Japanese Patients with AF; other abbreviations as in Table 1.

DOACs remains unclear in the absence of other clinical trials. A retrospective cohort study conducted in Taiwan evaluated the clinical outcomes of DOACs in a population generally similar to the ELDERCARE-AF trial, with CHADS $_2$ (congestive heart failure, hypertension, age $>\!80$ years, diabetes, and a prior stroke or transient ischemic attack)

score of ≥2 stratified into full-dose regimen or lower-dose regimen groups.⁵¹ The results showed that the use of DOACs, regardless of the dose, was associated with a lower risk of IS (aHR: 0.77; 95% CI: 0.67-0.88) and all-cause mortality (aHR: 0.39; 95% CI: 0.37-0.42) compared with nonstandard oral anticoagulant use. Although these findings may

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FIGURE 2 Nonrecommended Low Dosing of Direct Oral Anticoagulants

Nonrecommended low dose

- The expert panel highlighted that there are two common scenarios of nonrecommended underdosing in clinical practice:
 - Scenario 1: "Gray-area" patients with perceived high risk but not represented in clinical trials. Because there is no clear evidence about the optimal dose, the advisors suggested that dosing for these patients may be flexible based on shared decisionmaking and limited available data.
 - Scenario 2: Patients meet the criteria for on-label dosing and are represented in clinical trials, yet the physician prescribes a nonrecommended dose.
 The advisors emphasize that this scenario should be avoided, and instead, the standard dose be prescribed to these patients, and the importance of adherence to the label has to be emphasized to physicians.

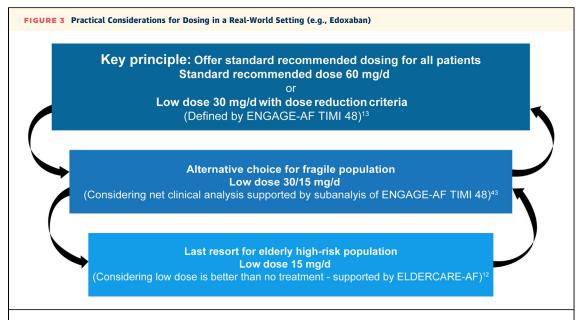
This figure represents the summarized opinion for dosing patients with a nonrecommended low dose. The 2 common scenarios are described. Scenario 1 represents the gray area patient in which no clear evidence is available. Scenario 2 represents the patients in which higher dosing needs to be prescribed and emphasizes the importance to physicians.

provide complementary data to support the generalizability of the results of the ELDERCARE-AF trial to other DOACs in daily clinical practice, this study is limited by the retrospective nature of the analysis of a claims database, and the potential for unmeasured confounders should be considered when interpreting the results.

In terms of labeled dose reduction criteria for DOACs in the elderly population, there is a need to consider factors beyond age alone, as chronological age is an insufficient criterion for determining the appropriate dosage of DOACs in the elderly population. Variations in cellular metabolism, daily activity, and cognitive functions exist among individuals of the same chronological age. Indeed, the choice and dosage of a treatment should be based on an individual's biological age rather than their chronological age.⁵² For example, a cross-sectional study demonstrated that 58 patients with type 1 diabetes mellitus had a significantly older vascular age, with an 8.8-year difference (P < 0.001), and a higher cardiovascular disease risk stratification (P < 0.001) than chronological age control individuals.53 Therefore, approved dose reduction criteria must consider various biological components or factors, such as renal function, concomitant medication usage, and weight, although regional variations do exist across the approved DOAC labels (Table 1). Further studies about how to accurately estimate the biological age and how it could redefine the dosing of DOACs and the subsequent impact on clinical outcomes are necessary.

CASE STUDY ON DOSE ADJUSTMENT OF DOACS IN CLINICAL PRACTICE (PROVIDED BY DR CHAO).

An 85-year-old Taiwanese male with AF presented with a normal body weight of 64 kg, a serum creatinine level of 1.15 mg/dL, and an estimated glomerular filtration rate of 42.5 mL/min according to the Cockcroft-Gault (CG) equation and 60.4 mL/min according to the Modification of Diet in Renal Disease (MDRD) equation. His platelet count was low (73,500/ μL), and he showed signs of mild anemia with a hemoglobin level of 11.3 g/dL. Additionally, he had a history of prior gastrointestinal bleeding. Very elderly patients with low platelet counts are typically excluded from trials⁵⁴ and therefore are not well represented in the pivotal DOAC RCTs. It should also be highlighted that there are differences in the estimated CrCl calculated using the CG equation and the estimated glomerular filtration rate calculated using the MDRD equation, and that the CG calculation should be used to determine the correct DOAC dose.55-57 For this patient, different choices for onlabel DOAC dosing for stroke prevention are available, including dabigatran 110 mg BID, rivaroxaban 15 mg/d (based upon the ROCKET-AF [Rivaroxaban Once Daily Oral Direct Factor Xa Inhibition Compared With Vitamin K Antagonism for Prevention of Stroke and Embolism Trial in Atrial Fibrillation] dosing),15 rivaroxaban 10 mg/d (J-ROCKET AF [Japanese Rivaroxaban Once daily oral direct factor Xa inhibition Compared with vitamin K antagonism for prevention of stroke and Embolism Trial in Atrial Fibrillation]



This figure utilizes edoxaban as an example of dose adjustment in a real-world setting and considers how the dose may be adjusted (up- or downtitrated) according to the patients' characteristics as they change over time. For example, 60 mg/d is offered as a starting point to all patients unless they meet the criteria for dose reduction. For the elderly high-risk patient, 15 mg/d can be prescribed. When patient characteristics change (eg, the creatinine clearance improves and the risk of bleeding reduces), physicians should always consider to step up the lower dose to the higher dose. ELDERCARE-AF = Edoxaban Low-Dose for Elder Care-Atrial Fibrillation Patients; ENGAGE-AF TIMI 48 = Effective Anticoagulation with Factor Xa Next Generation in Atrial Fibrillation Thrombolysis In Myocardial Infarction 48.

dosing),58 apixaban 5 mg BID (as the patient did not fully meet the necessary dose reduction criteria), and edoxaban 30 mg/d. However, the patient and his family expressed concerns about the potential risk of bleeding due to the patient's low platelet count and past history of gastrointestinal bleeding. After discussing the options, the patient was prescribed edoxaban 15 mg/d. The decision was based on evidence from the ELDERCARE-AF study. 12 During subsequent follow-up, the patient's platelet count increased to 110,650/µL, and the physician uptitrated to adjust the edoxaban dose to 30 mg/d. Practical considerations for dosing in a real-world setting using edoxaban as an example are outlined in Figure 3. We believe that this approach is not intended to replace current dosing recommendations but rather is intended to provide a framework for individualizing DOAC dosing based on patient-specific factors. A similar approach may be applied to other DOACs in the future, depending on the availability of data, physicians' experience of the DOAC, and shared decision making with the patient.

LOW-DOSE GRAY AREA PATIENT OUTCOMES CONCLUSION. The use of low-dose DOACs for gray area patients is summarized in **Figure 4**.

FUTURE PERSPECTIVE ON DOAC DOSING IN ASIA

GUIDANCE ON DOAC DOSING IN ASIA. The Asia Pacific Heart Rhythm Society recommends on-label dosing of DOACs in Asian patients with AF.⁵⁵ The key points in the consensus guidelines are as follows:

1) as DOACs are more effective and safer than warfarin in Asian patients with AF, DOACs are the recommended choice for oral anticoagulation in Asian patients with AF; 2) the CG equation should be adopted to calculate CrCl to determine the dosing of DOACs; and 3) on-label or guideline-adherent dosing of DOACs is recommended in Asian patients.

One of the most important Asia Pacific Heart Rhythm Society recommendations for DOAC dosing is regarding the determination of renal function. Patients with AF should have their CrCl measured using the CG equation, as used in the 4 major DOAC RCTs. ^{13-15,59} Compared with the CG equation, the MDRD or Chronic Kidney Disease Epidemiology Collaboration equations would overestimate the renal function of patients with AF, particularly the elderly (≥75 years) and those with low body weight (<50 kg), potentially leading to overdosing and attenuating the benefits of DOACs. ⁵⁵

FIGURE 4 Dose Adjustment of Direct Oral Anticoagulants for Gray-Area Patients

Recommended dose adjustment

- It is important that the recommended dose is considered the starting point for all patients unless they meet the criteria for dose reduction.
- When the patient characteristics change (i.e., the CrCl improves and the risk of bleeding reduces), physicians should always consider to step-up the reduced dose to the standard dose, and guidance would be helpful.
- The 15-mg dose edoxaban provides an alternative solution for high-risk/complicated patients. Edoxaban offers great flexibility for dosing in real-world practice.

This figure represents the summarized opinion for dose adjustment for all direct oral anticoagulants. CrCl = creatinine clearance.

THERAPEUTIC DRUG MONITORING AND PHARMACOKINETIC DATA. Treatment with DOACs typically does not require routine clinical monitoring due to their wide therapeutic index; however, it may be necessary to assess drug levels in specific situations to manage risks or side effects and confirm efficacy. 60 An 8-year retrospective, observational study monitoring DOAC levels in 236 patients in Poland concluded that therapeutic drug monitoring (TDM) is not necessary for the general population but should be considered in specific clinical settings, including patients with severe bleeding, obesity or VLBW, or impaired kidney function, and prior to emergent surgery to confirm the need for reversal agents.⁶⁰ Pharmacokinetic analysis of the RE-LY (Randomized Evaluation of Long-Term Anticoagulation Therapy) study with 9,183 patients showed that dabigatran plasma concentrations increased with age and decreased with increasing body weight but were not

affected by ethnicity and regional geographic variation.61 Another study that assessed serum concentration of dabigatran in Asian patients with NVAF indicated that TDM should be considered for patients at risk of overexposure, including the elderly or those who have low body weight, impaired renal function, and high risk of thrombosis and bleeding.⁶² In addition, a post hoc analysis of the ENGAGE AF-TIMI 48 trial assessed the differences in clinical outcomes and pharmacokinetic parameters between (n = 2,909) and non-Asian (n = 18,195) patients and showed that the median trough concentration for edoxaban was 20% to 25% lower for Asians compared with non-Asians, resulting in lower trough anti-factor Xa activity, which may account for favorable clinical outcomes in Asian patients.¹⁹ Taken together, the characteristics of patients with AF in Asia who may be prescribed nonrecommended dose of DOACs (elderly, low body weight, high risk of bleeding)

FIGURE 5 Future Perspectives on Dosing of Direct Oral Anticoagulants in Asia

Future perspectives

- Advice for selecting the right dose for "gray-area" patients will always be justified where there is a lack of regulatory studies and conclusions cannot be made regarding off-label or reduced/nonrecommended dosing.
- TDM may be useful to determine the optimal effective and safe dose for "gray-area" patients, but clinical data are still lacking.
- Practical advice for clinicians is recommended to support clear clinical decision-making and adherence to guideline recommendations.

This figure represents the summarized opinion for future considerations of direct oral anticoagulant dosing for Asian patients. TDM = therapeutic drug monitoring. coincide with specific clinical settings in which TDM is recommended. 60

Unfortunately, there are no specific guidelines for TDM intervals or periods and no evidence to recommend dose adjustments based on TDM findings. For example, for patients with recurrent bleeding, drug monitoring can be performed, but there are no detailed protocols to guide dose adjustments. The only recommendation that can be made is that the drug concentration is associated with the risk of adverse events. Specifically, higher DOAC plasma concentrations are associated with a higher risk of bleeding, whereas lower DOAC plasma concentrations are associated with a higher risk of ischemic events. ^{60,61}

CONSIDERATION OF ASIAN ETHNICITY AND RISK FACTORS. As Asian and non-Asian patients have participated in the pivotal RCTs for DOACs, DOACs are expected to be similarly effective regardless of race. Analysis of the ENGAGE AF-TIMI 48 trial demonstrates that higher-dose edoxaban significantly reduced intracranial hemorrhage while preserving the efficacy of stroke prevention in both Asian and non-Asian patients. 19 However, the risk factors tend to be different among these populations, as Asian patients tend to be older with lower body weight and a high risk of bleeding. It was shown that the reduction in the risk of net clinical outcomes (ie, stroke, SE, major bleeding, or death from any cause) with edoxaban compared with warfarin increases with the number of risk factors.7 This finding may suggest that patients with higher risks will gain greater benefits from the use of low-dose DOACs than with warfarin.

CONCOMITANT MEDICATIONS. The use of antiplate-let agents in combination with anticoagulants increases the risk of bleeding events. The AFIRE (Atrial Fibrillation and Ischemic Events with Rivaroxaban in Patients with Stable Coronary Artery Disease) trial showed that rivaroxaban monotherapy was non-inferior to combination therapy with rivaroxaban plus antiplatelet therapy with respect to cardiovascular events and death from any cause and was superior with respect to major bleeding. A high proportion of patients with AF may receive antiplatelet drugs unnecessarily. For patients being considered for a lower dose of DOACs, physicians should ensure reevaluation of antiplatelet therapy and reconsider the use of higher on-label doses accordingly.

UPTITRATING AND DOWNTITRATING. Currently, uptitration or downtitration of DOACs in clinical practice is often considered based primarily on the physician's discretion as a fact, and there is no evidence to

HIGHLIGHTS

- Optimal dosing in very elderly gray area Asian patients is a challenge.
- Shared decision-making and a consideration of available evidence should be the basis for dosing choice.
- Avoid nonrecommended dosing where possible to mitigate unnecessary complications.
- Additional high-risk characteristics may be considered in future trials to further refine dose-reducing criteria.

provide guidance. More guidance on dose adjustment should be provided based on changes in clinical parameters/characteristics of patients on DOACs. To date, no study has conducted a risk-benefit analysis of uptitration and downtitration, and no information is currently available in the prescribing information of DOACs. Therefore, for inclusion of this type of information in the prescribing information, regulatory studies are required.

FURTHER STUDIES. Although the lowest recommended dose of edoxaban (15 mg/d) was superior to placebo in the ELDERCARE-AF trial, the HDER (60/30 mg) was not tested in this trial. Therefore, we cannot ascertain whether HDER may perform better than the low dose of edoxaban (15 mg/d) in an ELDERCARE-AF population. Further investigations are needed to assess the optimal doses of DOACs and net clinical effects of off-label DOAC prescriptions in the Asian population with AF, especially for those with high bleeding as risk factors who were not well represented in clinical trials.

FUTURE DOSING INFORMATION CONCLUSION. Future perspectives on DOAC dosing in Asia are summarized in **Figure 5**.

CONCLUSIONS

Recommended dosing was associated with a better clinical outcome and therefore should be considered as the gold standard. However, nonrecommended doses of DOACs are commonly prescribed for Asian patients with AF. Based on demographics and clinical characteristics, there are more patients of Asian descent who are very elderly, with low body weight, and at a high risk of bleeding compared with non-Asian patients with AF. These patients may be candidates for lower dosage but do not fit into the

lower-dose criteria (gray area patients). The current challenge of DOAC dosing in gray area patients is an important issue. The ELDERCARE-AF trial provided evidence for the efficacy of low-dose DOACs in elderly Asian patients. Edoxaban 15 mg may be a promising management strategy for gray area patients, if indicated by the product label, and the DOAC dose can be adjusted based on changes in the patients' conditions. Key areas for improvement in treatment using DOACs in patients with AF in Asia are for physicians to avoid nonrecommended dosing where possible to mitigate unnecessary complications. Moreover, the dose reduction criteria may be refined to include additional high-risk characteristics relevant in clinical practice. Further research is needed to fill the gaps in the literature on the optimal DOAC doses for gray area patients.

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ADDRESS FOR CORRESPONDENCE: Dr Tze-Fan Chao, Division of Cardiology, Department of Medicine, Taipei Veterans General Hospital, No. 201, Sec. 2, Shipai Road, Taipei, Taiwan. E-mail: eyckeyck@gmail.com.

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